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PTO/SB/05 (01-04)
Approved for use through 07/31/2006. OMB 0651-0032

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| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. 188-96 | |
| | First Inventor Itoh | |
| | Title INK SET FOR INK JET PRINTING, AND ... | |
| | Express Mail Label No. EL995745315US | |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents, P. O. Box 1450 Alexandria, VA 22313-1450 |
|---|--|

| | |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 28] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets] 5. Oath or Declaration [Total Pages] a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: CHECK \$770.00 & \$40.00 |
|--|--|

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
|--|--------------------------|---|----------------|---|----------------|
| 19. CORRESPONDENCE ADDRESS | | | | | |
| <input type="checkbox"/> Customer Number or Bar Code Label | | (Insert Customer No. or Attach bar code label here) | | or <input checked="" type="checkbox"/> Correspondence address below | |
| Name | ROCCO S. BARRESE, ESQ. | | | | |
| Address | DILWORTH & BARRESE, LLP | | | | |
| | 333 Earle Ovington Blvd. | | | | |
| City | Uniondale | State | New York | Zip Code | 11553 |
| Country | U.S.A. | Telephone | (516) 228-8484 | Fax | (516) 228-8516 |

| | | | |
|-------------------|-------------------------|-----------------------------------|---------------|
| Name (Print/Type) | GEORGE M. KAPLAN | Registration No. (Attorney/Agent) | 28,375 |
| Signature | <i>George M. Kaplan</i> | Date | FEB. 11, 2004 |

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL995745315US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: FEBRUARY 11, 2004

George M. Kaplan
GEORGE M. KAPLAN

16834 U.S. PTO
10/776365
021104



17621 U.S. PTO

PATENT
Atty. Docket No. 188-96

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Yoshikatsu Itoh and Tomoyuki Nishikawa

For INK SET FOR INK JET PRINTING, AND INK JET PRINTING METHOD
AND PRINTED MATTER USING SAME

Enclosed are:

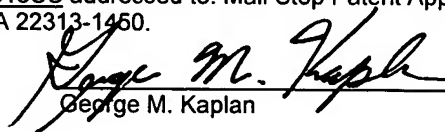
- ☒ 25 page(s) of specification
- ☒ 1 page(s) of abstract
- ☒ 2 page(s) of claims (1-9)
- ☐ sheets of drawings ☐ formal ☐ informal
- ☒ 4 page(s) of preliminary amendment
- ☒ 6 page(s) of Declaration and Power of Attorney

☒ An Assignment of the invention to SEIREN CO. LTD.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 11, 2004 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL995745315US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: February 11, 2004


George M. Kaplan

- ☐ This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:
/

FILING DATE

- ☒ Certified copy of application

| <u>Country</u> | <u>Appln. No.</u> | <u>Filed</u> |
|----------------|-------------------|-------------------|
| JAPAN | 2003-33066 | February 12, 2003 |

from which priority under Title 35 United States Code, § 119 is claimed

☐ is enclosed.

☒ will follow.

CALCULATION OF UTILITY APPLICATION FEE *

| For | Number Filed | Number Extra | Rate | Basic Fee \$770.00 |
|--|--------------|--------------|----------------|-----------------------|
| TOTAL CLAIMS ** | 10-20 | 0 | x 18 = | \$0.00 |
| INDEPENDENT CLAIMS | 3-3 | 0 | x 86 = | \$.00 |
| <input type="checkbox"/> Multiple Dep. Claim | | | 290 | \$.00 |
| | | | TOTAL \$770.00 | |

- ☐ Small Entity Status is claimed Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$.00.
- ☒ The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- ☒ Check in the amount of \$770.00 to cover the ☒ filing fee(s) is attached.

* Based upon accompanying Preliminary Amendment

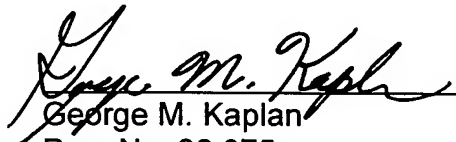
** includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

☐ Charge fee to Deposit Account No. 04-1121.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

Date: February 11, 2004


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Attorney for Applicant

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